

JSDA's Major Work Plans for 2021-2022 (July 2021)

Investors (Individuals)

Conduct PR activities for asset building through investment, and contribute to further developing tax systems, etc.

Promote asset building capable of supporting the 100-year life

- Promote medium- and long-term asset building
 - Endeavor to achieve wider use of the NISA schemes (such as "Tsumitate NISA") and to make these schemes permanent and more convenient
 - Undertake initiatives to further develop the defined contribution (DC) pension system
 - Promote asset building in the workplace
- Promote the expansion of securities investment
 - Undertake initiatives toward the integration of financial income taxation (i.e. aggregation of profits and losses including derivatives)
 - Conduct training programs tailored to a diverse range of investors
 - Conduct PR activities to increase interest in securities investment
 - Conduct PR activities for asset building through stock investment
- Create an environment that promotes the smooth inheritance of risk assets between generations

Spread and deepen financial and securities knowledge

- Promote financial and securities education in schools
- Promote financial and securities education for working adults

Market (Infrastructure)

Strengthen financial and capital markets' functions and competitiveness including advancing financial innovation and Japan's status as global financial hub

- Respond to financial innovation
- Enhance the private placement system for professional investors
- Enhance and publicize the unlisted stock trading system
- Undertake initiatives for expanding and diversifying the corporate bond market
- Further enhance the global presence of Japan's financial and capital markets
- Work toward the smooth introduction of the system for requesting a paper copy under the electronic provision of shareholders meeting materials
- Undertake initiatives aimed at solidifying the acceptance and expanding the use of the Social Security and Tax Number System ("My Number" System)
- Conduct the "National Survey on Securities Investment"
- Review statistical reporting from the viewpoints of efficiency and effectiveness

Market Intermediaries (Members)

Further materialize the efforts towards the achievement of SDGs

- Promote the proliferation of financial instruments contributing to the SDGs (SDG bonds)
- Promote the creation of a fulfilling workplace environment and the empowerment of women
- Support economically vulnerable children
- Bolster partnerships with both Japanese and overseas parties towards the achievement of the SDGs

Market Intermediaries (Members)

Promote customer- oriented business conduct and improve market intermediaries' functions and credibility

- Carry out measures to ensure customer-oriented business conduct
- Respond to needs to provide financial services that are fit for an aging society
- Undertake initiatives toward promoting sustainable finance
- Facilitate the transition to paperless and digitalized processing of, and document handling related to, securities transactions
- Support member firms' preventive efforts against fraudulent access in online trading
- Exercise effective self-regulatory functions
 - Make appropriate alterations to self-regulatory rules based on changes to the financial services environment
 - Conduct agile and effective inspections of member firms
 - Continue efforts to prevent insider trading
- Undertake initiatives for reviewing the firewall regulations, etc. from the viewpoint of the sound development of capital market
- Undertake measures to ensure that financial products are appropriately provided through cross-sectional financial services intermediaries
- Respond to the revisions to the Act on the Protection of Personal Information

Overseas

Enhance global outreach and cooperation

- Conduct overseas PR activities on the attractiveness and potential of Japanese markets
- Enhance international cooperation/collaboration, including support for emerging markets
- Respond to the global regulatory agenda
- Respond to enhanced demand for English based communication in JSDA's operations

JSDA

Conduct measures and operations aimed at adapting to the DX

- Conduct operations that are adapted to the Digital Transformation (DX) era
- Strengthen business continuity planning (BCP) and cybersecurity measures
- Strategically develop qualified human resources and improve staff members' work life balance