

# JSDA's Major Work Plans for 2016-2017 (July 1, 2016)

## ◆ Invigorating Capital Markets ◆ Promoting Asset-building through Investment

### Investors (Individuals)

- Contributing to individuals' medium- and long-term asset building
1. Popularizing and promoting NISA and Junior NISA
  2. Expanding the utilization of defined contribution pension plans (Japanese 401k)
  3. Perpetuating and expanding NISA and Junior NISA
  4. Facilitating intergenerational transfer of risk assets
  5. Supporting moves to integrate taxation on financial income derived from derivatives, etc.

### Disseminating and promoting financial literacy

1. Enhancing financial and economic education
  - Advocating revision of the school curriculum guideline
  - Broadening support activities for schools
  - Newly establishing the financial and securities instructor system and expanding activities of dispatching lecturers and hosting seminars
  - Enriching web contents and effectively distributing information
2. Conducting public relations to prevent scams involving fake sales of shares or corporate bonds

### Market (Infrastructure)

### Strengthening financial and capital markets' functions and competitiveness

1. Establishing Tokyo's status as a global financial center
2. Shortening the settlement cycle of JGBs, stocks, etc.
3. Vitalizing the corporate bond market
  - Smoothly operating the system to publish trading prices of corporate bond transactions
  - Setting up a proper framework to protect corporate bond holders

4. Supporting start-up and growth companies, and regional enterprises:
  - Facilitating the use of equity crowdfunding
  - Expanding the use of shareholders' community system
5. Facilitating intelligence sharing about FinTech
6. Taking necessary steps for domestic implementation of Asia Region Funds Passport
7. Promoting interaction with academic researchers and market stakeholders

### Intermediaries (Members)

### Improving intermediaries' functions and credibility

1. Exercising proper self-regulatory functions
  - Conducting timely and effective inspections of member firms by JSDA
  - Preventing insider trading
  - Developing fair practices for information provision by analysts to the market
  - Protecting the securities market from anti-social forces
  - Reviewing the product screening process and sales practices regarding private placement bonds, etc.

2. Supporting member firms' preparation for systemic revisions
  - Encouraging steady establishment of the Social Security and Tax Number System and its wider use
  - Taking necessary steps in response to revisions of the Act on the Protection of Personal Information
  - Adopting appropriate measures for CRS (Common Reporting Standard of OECD) and FATCA (Foreign Account Tax Compliance Act)
3. Fostering customer-oriented business practices

### Overseas

### Expanding global information dissemination and cooperation

1. Actively conducting overseas public relations on the attractiveness and potential of Japan's market
2. Enhancing cooperation with relevant overseas organizations
3. Strengthening technical support for emerging markets
4. Responding to global regulatory reform

### JSDA's Operations

### Improving JSDA's operations

1. Enhancing communication with member firms and market stakeholders
2. Actively distributing information to investors and market stakeholders
3. Developing and strengthening business continuity planning (BCP)
4. Reviewing and improving organizational structure and operations
  - Developing qualified human resources
  - Raising business efficiency using IT