

Member List of Full-time Executives

(As of February 5, 2021)

Chairman and CEO

SUZUKI Shigeharu

Vice-Chairman

MORIMOTO Manabu (Chief Operating Officer for the Self-regulation Board)

Vice-Chairman & Senior Managing Director

TAKENO Mario (Chief Operating Officer for the Securities Strategy Board)

Standing Auditor

YAMAMOTO Satoru (Independent Evaluation Officer)

Executive Directors

KIKUCHI Koji (Chief Financial Officer (CFO), Chief Compliance Officer (CCO)
& Chief Officer for Administration)

SAKAI Tatsuhiro (Chief Officer for Financial Literacy & Education Support)

SHIMAMURA Masayuki (Chief Officer for Planning & Public Relations)

ISHIKURO Atsushi (Chief Officer for Member Relations & Chief Regional-Relationship
Officer)

Chief Officers

KAMATA Sawaichiro (Chief Officer for IT & System Risk Management, Chief Information
Officer (CIO) & Chief Risk Officer (CRO))

MATSUMOTO Masao (Chief Officer for Rules & Regulations)

TOTSUKA Yasushi (Chief Officer for Inspection)

HISHIKAWA Isao (Chief Officer for International Affairs & Research)

MORIMOTO Kenichi (Chief Officer for Qualification & Discipline)