

## **Financial Overview of Regular Members**

### **—whole year results**

#### **(Purpose)**

These statistics are to provide the general public with the financial overview including the major figures from the “Business Reports” submitted by Regular Members as one of indicators that facilitate understandings on the trend in the financial instrument business industry.

#### **(Definitions)**

- “Regular Members” are those defined in Article 5, Item 1 of the JSDA’s Articles of Association.
- “Business Reports” refers to the business reports that financial instrument business operators are required to prepare annually in accordance with Article 46-3 of the Financial Instruments and Exchange Act.

#### **(Data Source)**

Data are gathered from major items on Business Reports submitted by Regular Members in accordance with Article 18 of the JSDA’s Articles of Association.

#### **(Notes for Users)**

The data are gathered from Regular Members as of the end of March. However, those that have not yet started operations or have suspended operations, etc. are excluded.

#### **(Publication Timing)**

These statistics are published around the end of August on the JSDA’s website.

These explanatory materials are intended to provide assistance to non-professionals using the statistical data provided by the JSDA in understanding the specialized vocabulary used in statics. The definitions and other explanations used are not necessary based on laws or other regulations.