

Composite Balance Sheet of Securities Firms and Number of Customer Accounts, etc.

(Purpose)

By compiling reference materials based on the “Monitoring Survey Report” submitted by Regular Members, these statistics aim to provide the general public with one indicator to facilitate their understanding of the trend of the financial instrument business industry.

(Definitions)

- “Regular Members” are those defined in Article 5, Item 1 of the JSDA’s Articles of Association.
- “Securities Held in Custody” are securities separately managed based on Article 43-2, Item 1, excluding substitute securities related to margin transactions and futures transactions, etc.

(Data Source)

Data are gathered from Regular Members’ Monitoring Survey Reports submitted in accordance with Article 18 of the JSDA’s Articles of Association.

(Notes for Users)

- The data are gathered from Regular Members as of the end of each month. However, those that have not yet started operations or have suspended operations, etc. are excluded.
- “Number of customer accounts” excludes those accounts with no balance of securities held in custody in a safe custody account.
- The figures of “Bonds” in “Securities Held in Custody” are indicated in face value.
- The figures for “Number of customer accounts” and “Securities Held in Custody” are as of the end of every quarter (March, June, September, and December) and are the aggregate of the following items (a) to (c) due to the different fiscal quarters of individual member firms.

1) June column

- (a) Figure at the end of April for companies whose financial quarters end in January, April, July, and October.
- (b) Figure at the end of May for companies whose financial quarters end in February, May, August, and November.
- (c) Figure at the end of June for companies whose financial quarters end in March, June,

These explanatory materials are intended to provide assistance to non-professionals using the statistical data provided by the JSDA in understanding the specialized vocabulary used in statistics. The definitions and other explanations used are not necessary based on laws or other regulations.

September, and December.

2) September column

- (a) Figure at the end of July for companies whose financial quarters end in January, April, July, and October.
- (b) Figure at the end of August for companies whose financial quarters end in February, May, August, and November.
- (c) Figure at the end of September for companies whose financial quarters end in March, June, September, and December.

3) December column

- (a) Figure at the end of October for companies whose financial quarters end in January, April, July, and October.
- (b) Figure at the end of November for companies whose financial quarters end in February, May, August, and November.
- (c) Figure at the end of December for companies whose financial quarters end in March, June, September, and December.

4) March column

- (a) Figure at the end of January for companies whose financial quarters end in January, April, July, and October.
- (b) Figure at the end of February for companies whose financial quarters end in February, May, August, and November.
- (c) Figure at the end of March for companies whose financial quarters end in March, June, September, and December.

(Timing of Publication)

The data for March, June, September, and December are published quarterly. Due to the compiling schedule of the Monitoring Survey Reports, the data are published with a two-month delay (for example, data for June 2018 will be published in August 2018).